## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APP             | ROVAL     |
|---------------------|-----------|
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

|  |                        |                    | or Section 30(n) of the investment Company Act of 1940         |   |  |                       |  |  |
|--|------------------------|--------------------|--|---|--|-----------------------|--|--|
| Name and Address of Reporting Person*     Patton Cynthia M |                        |                    | 2. Issuer Name and Ticker or Trading Symbol AMGEN INC [ AMGN ] | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director 10% Owner |  |                       |  |  |
| (Last) (First) (IONE AMGEN CENTER DRIVE                    |                        | (Middle)           | 3. Date of Earliest Transaction (Month/Day/Year) 08/30/2019    | X   | Officer (give title below)  SVP & (  | Other (specify below) |  |  |
| (Street) THOUSAND OAKS                                     | THOUSAND CA 91320-1799 |                    | 4. If Amendment, Date of Original Filed (Month/Day/Year)       | 6. Indiv<br>Line)<br>X  | idual or Joint/Group Fil  Form filed by One Re  Form filed by More therson | eporting Person       |  |  |
| (City)   | (State)                | (Zip)              |  |   |  |                       |  |  |
|  | Ta                     | ble I - Non-Deriva | itive Securities Acquired, Disposed of, or Benefi              | cially (  | Owned  |                       |  |  |

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |   |                              |   |  |               |                           |   |   |   |  |
|--|--|---|------------------------------|---|--|---------------|---------------------------|---|---|---|--|
| 1. Title of Security (Instr. 3)  | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |               |                           | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |  |   | Code                         | v | Amount   | (A) or<br>(D) | Price                     | Transaction(s)<br>(Instr. 3 and 4)  |   | (111301.4)  |  |
| Common Stock   | 08/30/2019                                 |   | M                            |   | 5,749  | A             | \$156.35                  | 32,648  | D   |   |  |
| Common Stock   | 08/30/2019                                 |   | S                            |   | 5,749  | D             | \$208.0672(1)             | 26,899  | D   |   |  |
| Common Stock   | 08/30/2019                                 |   | M                            |   | 2,875  | Α             | \$162.6                   | 29,774  | D   |   |  |
| Common Stock   | 08/30/2019                                 |   | S                            |   | 2,875  | D             | \$208.0672(2)             | 26,899  | D   |   |  |
| Common Stock   | 08/30/2019                                 |   | S                            |   | 4,802  | D             | \$208.0672 <sup>(3)</sup> | 22,097(4)(5)  | D   |   |  |
| Common Stock   |  |   |                              |   |  |               |                           | 264.49(6)   | I   | 401(k)<br>Plan  |  |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deri<br>Sec<br>Acq<br>(A) o<br>Disp<br>of (I | oosed<br>D)<br>tr. 3, 4 | Expiration Date (Month/Day/Year) Sec<br>Unc |                    | Expiration Date Amount of |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|--|-------------------------|---|--------------------|---------------------------|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A)  | (D)                     | Date<br>Exercisable                         | Expiration<br>Date | Title                     | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Nqso<br>(Right to<br>Buy)                           | \$156.35  | 08/30/2019                                 |   | M                            |   |  | 5,749                   | 05/03/2018                                  | 05/03/2026         | Common<br>Stock           | 5,749                                  | \$0   | 2,962  | D  |  |
| Nqso<br>(Right to<br>Buy)                           | \$162.6   | 08/30/2019                                 |   | M                            |   |  | 2,875                   | 05/01/2019                                  | 05/01/2027         | Common<br>Stock           | 2,875                                  | \$0   | 5,839  | D  |  |

## **Explanation of Responses:**

- 1. The price reported is an average price. The prices ranged from \$207.425 to \$208.520 per share for this cashless exercise of 5,749 stock options expiring May 3, 2026. Full information regarding the number of shares sold at each separate price within the range set forth above is available upon request by the SEC staff, the issuer or a security holder of the issuer
- 2. The price reported is an average price. The prices ranged from \$207.425 to \$208.520 per share for this cashless exercise of 2,875 stock options expiring May 1, 2027. Full information regarding the number of shares sold at each separate price within the range set forth above is available upon request by the SEC staff, the issuer or a security holder of the issuer
- 3. The price reported is an average price. The prices ranged from \$207.425 to \$208.520 per share. Full information regarding the number of shares sold at each separate price within the range set forth above is available upon request by the SEC staff, the issuer or a security holder of the issuer.
- 4. These shares include the following RSUs granted under the Company's equity plans: 348 RSUs which vest on 5/3/2020; 660 RSUs which vest in installments of 325 on 5/1/2020 and 335 on 5/1/2021; 901 RSUs which vest in installments of 297 on 4/27/2020, 297 on 4/27/2021 and 307 on 4/27/2022; and 902 RSUs which will vest in installments of 297 on 5/3/2021, 298 on 5/3/2022 and 307 on 5/3/2023. VestedRSUs will be paid in shares of the Company's common stock on a one-to-one basis.
- 5. These shares include 116 Dividend Equivalents (DEs) granted pursuant to the Amgen Inc. 2009 Equity Incentive Plan and subject to a qualifying dividend reinvestment plan. DEs are credited on the reporting person's unvested RSUs and are paid out in shares of the Company's common stock on a one-to-one basis according to the vesting schedule, along with a cash payment for any remaining fractional
- 6. These are shares acquired under the Company's 401(k) Plan and represent interests in the Company's stock fund as of this filing

/s/ Cynthia M. Patton

09/04/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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